



EXPRESS MAIL NO.: EL 501 641 239 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Serafini

Application No.: 09/783,487

Group Art Unit: 1645

Filed: February 14, 2001

Examiner: to be assigned

For: COLLECTIONS OF TRANSGENIC ANIMAL LINES (LIVING LIBRARY) Attorney Docket No.: 10239-010

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R. § 1.53(b)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to a Notice to File Missing Parts of Nonprovisional Application, mailed May 18, 2001, in connection with the above-identified application, Applicants submit herewith:

- (1) a copy of the Notice;
- (2) a Declaration executed by the inventor Tito Andrew Serafini on August 10, 2001;
- (3) a Transmittal of Sequence Listing;
- (4) a Sequence Listing in Paper and Computer Readable Form pursuant to 37 C.F.R. §§ 1.821(c) and (e); and
- (5) a Petition for Extension of Time Under 37 C.F.R. § 1.136(a) for a period of one (1) month up to and including August 18, 2001, accompanied by authorization to charge the appropriate fee.

Applicants are concurrently filing herewith the following documents:

- (6) a Transmittal of Power of Attorney;

- (7) a Power of Attorney by Assignee executed by Lynne Zydowsky on August 10, 2001 with Exhibit A, a copy of the Assignment being concurrently submitted to the Recordation Branch of the United States Patent and Trademark Office; and
- (8) a Preliminary Amendment with Exhibits A-C, a marked up version of the replacement paragraphs of the specification, a marked up version of the amended claims, and a copy of the claims that will be pending after entry of the amendments, respectively.

Pursuant to 37 C.F.R. §1.16(e), the surcharge required for filing of the enclosed Declaration is believed to be \$65.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150, along with any deficiencies in fees due. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Dated: August 20, 2001

Adriane M. Antler 32,605
Adriane M. Antler (Reg. No.)

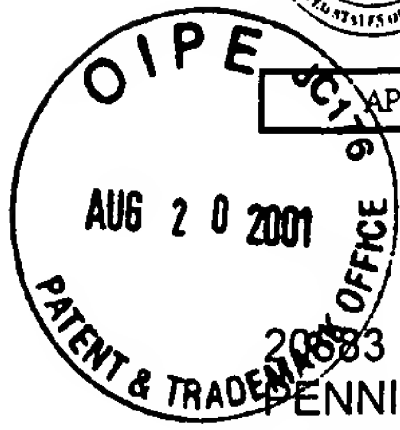
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,487	02/14/2001	Tito Andrew Serafini	10239-010

CONFIRMATION NO. 7095

FORMALITIES LETTER



OC000000006090447

PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

Oath / Decl + Fee: 7/18/01
Seq. Listing: 7/18/01

Date Mailed: 05/18/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

REFERRED TO <u>Antler</u>
REC'D <u>Brivanlou</u>
MAY 23 2001
Pennie & Edmonds
O.K. for filing _____


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- For PatentIn Software Program Help, call (703) 308-1119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY